



IAP16 Rec'd PCT/PTO 05 MAY 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **OJIMA, Naoto, et al.**

Attention: **Applications Division**

Serial Number: **10/589,746**

Group Art Unit: **1791**

Filed: **April 18, 2007**

P.T.O. Confirmation No.: 9503

For: **NICOTINE-REDUCING AGENT AND NICOTINE REDUCTION METHOD**

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: May 5, 2009

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the **Assignment For Published Patent Application** is missing which should read as **--SAN-EI GEN F.F.I., INC., Toyonaka-shi, Japan--**. Copies of the **Application Transmittal first page and Assignment document** are enclosed which indicate the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

KRATZ, QUINTOS & HANSON, LLP

Donald W. Hanson
Attorney for Applicants
Reg. No. 27,133

DWH/ses

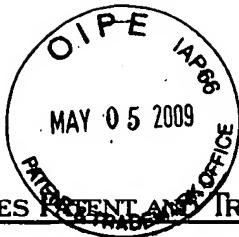
Atty. Docket No. **060622**
Suite 400
1420 K Street, N.W.
Washington, D.C. 20005
(202) 659-2930



23850

PATENT & TRADEMARK OFFICE

Enclosures: Official Filing Receipt 1st page, Application Transmittal 1st page and Assignment



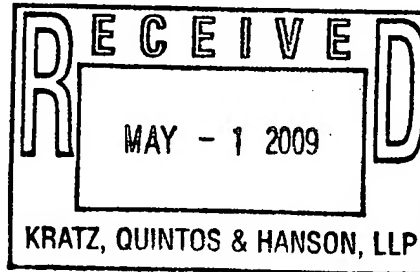
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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Alexandria, Virginia 22313-1450
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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/589,746	04/18/2007	1791	1160	060622	14	3

CONFIRMATION NO. 9503

23850
KRATZ, QUINTOS & HANSON, LLP
1420 K Street, N.W.
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FILING RECEIPT



Date Mailed: 04/30/2009

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Naoto Ojima, Osaka, JAPAN;
Toshio Omoto, Osaka, JAPAN;
Atsushi Kozakai, Osaka, JAPAN;
Hisakatsu Iwabuchi, Osaka, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 23850

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/02649 02/18/2005

Foreign Applications

JAPAN 2004-043610 02/19/2004
JAPAN 2004-136448 04/30/2004

PLEASE INSERT:

ASSIGNMENT FOR PUBLISHED PATENT APPLICATION
-- SAN-EI GEN F.F.I., INC., TOYONAKA-SHI, JAPAN --

If Required, Foreign Filing License Granted: 08/30/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/589,746**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

DOCKETED

DATE

5/4/09

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: August 17, 2006
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLN. NO. (if known):
INTERNATIONAL APPLICATION NO.: PCT/JP05/02649	INTERNATIONAL FILING DATE: February 18, 2005	PRIORITY DATE CLAIMED: February 19, 2004
TITLE OF INVENTION: NICOTINE-REDUCING AGENT AND NICOTINE REDUCTION METHOD		
APPLICANT(S) FOR DO/EO/US: Naoto OJIMA, Toshio OMOTO, Atsushi KOZAKAI and Hisakatsu IWABUCHI		

Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
- ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
 - ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - ☒ has been transmitted by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - ☐ have been transmitted by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - ☒ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:

- ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with PTO-1449, 4 references and International Search Report.
- ☐ a. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
☒ b. ASSIGNEE(s) NAME(s) AND ADDRESS SAN-EI GEN F.F.I., INC., Toyonaka-shi, Japan
Please publish the assignee data with the application.
- ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☒ Other items or information: **3 Sheets of Drawings.**

U.S. ASSIGNMENT(Insert ASSIGNEE's
Name(s) Address(es))

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

SAN-EI GEN F.F.I., INC., of1-1-11, Sanwa-cho, Toyonaka-shi, Osaka 5618588, Japan

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled:

(Title of Invention)

NICOTINE-REDUCING AGENT AND NICOTINE REDUCTION METHOD(*If the assignment is
being filed after the
filing of the
application, this
section must be
completed)

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

August 17, 2006

* filed on _____, Serial No. 10/589,746

(Armstrong, Kratz, Quintos, Hanson & Brooks, LLP is hereby authorized to insert the serial code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

<u>Naoto Ojima</u> (Signature)	<u>OJIMA Naoto</u> (Type Name)	<u>September 7, 2006</u> (Date)
<u>Toshio Omoto</u> (Signature)	<u>OMOTO Toshio</u> (Type Name)	<u>September 7, 2006</u> (Date)
<u>Atsushi Kozakai</u> (Signature)	<u>KOZAKAI Atsushi</u> (Type Name)	<u>September 7, 2006</u> (Date)
<u>H. Iwabuchi</u> (Signature)	<u>IWABUCHI Hisakatsu</u> (Type Name)	<u>September 7, 2006</u> (Date)
_____ (Signature)	_____ (Type Name)	_____ (Date)
_____ (Signature)	_____ (Type Name)	_____ (Date)